

PCT #3

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Rodney L. Sparks, J.D., Ph.D. Biotechnology Patent Counsel

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Richard L. Guerrant

May 5, 2005

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Nathan Thielman, MD, MPH
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Aldo A.M. Lima Rua Pinho Pessoa #1289 Apr. 1000, Aldeota Fortaleza CE 60.000 BRAZII

Re:

Durham, NC 27710

U. S. Patent Application Serial No. 10/530,805 filed April 8, 2005

National Stage of PCT/US2003/032379 filed October 10, 2003

Title: Use of Stable Glutamine Derivatives to Improve Drug Absorption

Our Reference: Guerrant-Clinical (00826-03)

Dear Inventors:

We are pleased to inform you that the above-identified PCT National Stage application was filed in the US Patent and Trademark Office on April 8, 2005. We have enclosed a copy of this application, which includes amendments to the specification. Please review and keep for your records.

We also enclose, for the inventors to sign, an assignment document assigning the rights in the captioned patent application from the inventors to the University of Virginia. Please sign and date the Assignment in the presence of a notary, have the notary affix their seal, and return the notarized document to us at your earliest convenience. (If it would be more convenient for you, we have a notary public at our office that can witness your signature.) Please fill in your resident address below the signature line if that information is missing, or correct that information if there

Page 2 of 2 May 5, 2005 UVAPF Reference: Guerrant-Clinical (00826-03)

is an error.

فكالمرية حمدارية

As you are aware, there are also three important duties of each applicant (i.e., inventor) for a United States Patent; namely, the duties to name the true inventors; to disclose material information; and to disclose the best mode for practicing the invention. Anyone involved in the preparation and prosecution of a patent application has a continuing duty to disclose to the Patent Office all information of which they are aware, which is "material" to the examination of the application. Information may be material where there is a substantial likelihood that a reasonable Examiner would consider important in deciding whether to allow the application to issue as a patent.

We will prepare and file an information disclosure statement listing any information provided by you, or that is known to us to be material to the examination of the application. In addition, we will supply the U. S. Patent Office with one copy of each of the documents listed on the information disclosure statement. This information disclosure statement should be filed in the Patent Office within three months from the filing date of the application, or before the first office action is mailed. Later submissions may require the payment of a fee.

Please advise us as soon as possible of any information believed to be material to the examination of this application. This information includes prior art patents or literature and may also include earlier sales or public use of the invention or items or processes related to the invention. We also request that if possible you provide us with hard copies of those references. Please call us if you have any questions about what type of information should be disclosed.

Please note, that it is not necessary for you to conduct any searches of the literature, and you are not required to provide references that are cumulative in nature. Simply provide us with copies of references that are currently known to you to be relevant to the patentability of the captioned application.

If you have any questions regarding this matter, please do not hesitate to contact me.

Sincerely,

Rodney L. Sparks

Biotechnology Patent Counsel

Rodrey I. Sparks

RLS/sh Enclosure

cc: Rob Capon (w/ enclosure - copy of application)

AlGlutamine, LLC

JC10 Rec'd

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER 00826-03

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

<u> </u>		~'	4								
PCT	NAL APPLICATION NO. T/US2003/032379	INTERNATIONAL FILING DATE 10 October 2003 (10.10.2003)	PRIORITY DATE CLAIMED 11 October 2002 (11.10.2002)								
TITLE OF INVI	ENTION Use of Stable Glutar	mine Derivatives to Improve Drug A	Absorption								
L	THIELMAN, Nathan	M.; BRITO, Gerly Anne de Cas									
Applicant her			O/US) the following items and other information:								
1. X This	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.										
I —	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.										
3. X This i	is an express request to begin nation (6), (9) and (21) indicated below.	ional examination procedures (35 U.S.C. 371	I(f)). The submission must include items								
4. 🔀 The U	US has been elected (Article 31).	•									
5. X A co	A copy of the International Application as filed (35 U.S.C. 371(c)(2))										
a.	a. is attached hereto (required only if not communicated by the International Bureau).										
b.	has been communicated by	the International Bureau.									
c.	X is not required, as the applic	cation was filed in the United States Receiving	ng Office (RO/US).								
6. An E	English language translation of the	e International Application as filed (35 U.S.C.	. 371(c)(2)).								
a.	is attached hereto.										
b.	has been previously submitt	ted under 35 U.S.C. 154(d)(4).									
7. X Ame	endments to the claims of the Inter	rnational Application under PCT Article 19 (3	i5 U.S.C. 371(c)(3))								
a.	are attached hereto (require	ed only if not communicated by the Internation	onal Bureau).								
b.	have been communicated b	y the International Bureau.									
c.		ever, the time limit for making such amendme	ents has NOT expired.								
d.	have not been made and wi	ill not be made.									
8.	English language translation of the	e amendments to the claims under PCT Article	:le 19 (35 U.S.C. 371(c)(3)).								
9. X An o	oath or declaration of the inventor(s	;) (35 U.S.C. 371(c)(4)).									
10. An E	English language translation of the cle 36 (35 U.S.C. 371(c)(5)).	annexes of the International Preliminary Exa	amination Report under PCT								
Items 11 to	20 below concern document(s)	or information included:									
11. An In	nformation Disclosure Statement ur	nder 37 CFR 1.97 and 1.98.									
	ssignment document for recording	. A separate cover sheet in compliance with	37 CFR 3.28 and 3.31 is included.								
13. X A pre	eliminary amendment.										
14. An Aı	An Application Data Sheet under 37 CFR 1.76.										
15. A sub	bstitute specification.										
- :	A power of attorney and/or change of address letter.										
	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.										
	A second copy of the published International Application under 35 U.S.C. 154(d)(4).										
		translation of the international application un									
20. X Other i	Other items or information: Small Entity Statement, Post Card Receipt										

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden; should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Page 1 of 2

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.							ATTORNEY'S DOCKET NUMBER				
PCT/US2003/032379							00826-03				
The fo	llowing fees have	C	ALCULATIONS	PTO USE ONLY							
21. 💢 Bas	ic national fee	••••••	• • • • • • • • • • • • • • • • • • • •	••••	\$300	\$	300.00	0			
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Search fee (37 C International Sea	rch fee CFR 1.445(a)(2)) I onal Searching Au arch Report prepa	\$	100.00)							
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CLAIMS	NUME	UMBER FILED		BER EXTRA	RATE	\$		<u> </u>			
Total claims		61 -20=		41	. x \$ 50	. \$	2,050.00				
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			7	OTAL OF ABOVE	CALCULATIONS =	\$	2,910.00				
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Processing fee of claimed priority da		\$									
·				TOTAL	NATIONAL FEE =	\$ 1,455.00					
Fee for recording by an appropriate	the enclosed assi cover sheet (37 C	gnment (37 CFR CFR 3.28, 3.31).	1.21(h)). T \$40.00 per	he assignment mu property	st be accompanied +	\$ 0.00					
				TOTAL F	EES ENCLOSED =	\$ 1,455.00					
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a. A check in the amount of \$ to cover the above fees is enclosed. b. X Please charge my Deposit Account No50-0423 in the amount of \$ _1,455.00 to cover the above fees. A duplicate copy of this sheet is enclosed.											
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d. Fees are	to be charged to	a credit card. W	ARNING: In		orm may become publ	lic. Cr	edit card informatio	n should not			
	appropriate time	limit under 37 (CFR 1.495	has not been met	, a petition to revive	(37 CI	FR 1.137(a) or (b)) n	nust be filed			
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